



PATENT

GP 1711

Attorney Docket No. W9465-02#7  
4-17-01IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of ) Before the Examiner  
Lüers et al. )  
)  
)  
Serial No.: 09/546,735 ) Group Art Unit: 1711  
)  
Filed: April 11, 2000 )  
)  
For: A Matting Agent for Radiation Curing )  
Coatings )  
)

Honorable Commissioner of Patents and Trademarks  
Washington, D. C. 20231

Sir:

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

Pursuant to 37 CFR §1.8, I hereby certify that I have a reasonable basis to expect that this correspondence will be deposited with the United States Postal Service on or before the date indicated as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

April 9, 2001      Shelie D. Wall  
Date                                  Signature

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INFORMATION DISCLOSURE STATEMENT TRANSMITTAL  
LETTER/PETITION FOR CONSIDERATION UNDER 37 CFR §1.97

I. Enclosed is:

- ☒ Information Disclosure Statement Form No. PTO-1449 and copies of references where indicated.
- ☐ Copy of the European Search Report dated \_\_\_\_\_ on European Application No. \_\_\_\_\_ which is:
- ☐ The European counterpart of the above-identified U.S. application or one of its Parental ancestors, i.e. USSN \_\_\_\_\_, filed \_\_\_\_\_.
- ☐ The European counterpart of related USSN \_\_\_\_\_, filed \_\_\_\_\_.

- ☒ Copy of the PCT Search Report dated 3/27/2001 on PCT Application No. PCT/IB 00/01752 which is:
- ☒ The PCT counterpart of the above-identified U.S. application or one of its Parental ancestors, i.e. USSN 09/546,735, filed April 11, 2000.
- ☐ The PCT counterpart of related USSN \_\_\_\_\_, filed \_\_\_\_\_.
- ☐ Copy of the \_\_\_\_\_ Search Report dated \_\_\_\_\_ on the corresponding \_\_\_\_\_ counterpart of the above-identified U.S. application.  
(country)
- ☐ Explanatory comments attached hereto as Appendix 1.
- II. ☒ The Examiner is requested to consider the references cited in the attached Information Disclosure Statement without a fee or certification because it was filed:
- ☐ within three months of the filing date of this national application, other than a continued prosecution application under 1.53(d);
- ☐ within three months of the date of entry of the national stage as set forth in §1.491 in an international application;
- ☒ before the mailing date of a first Office Action on the merits; or
- ☐ before the mailing of a first Office Action after filing of a Request for Continued Examination under §1.114.
- III. ☐ The Examiner is requested to consider the attached Information Disclosure Statement pursuant to 37 CFR §1.97(c) in that it is being filed after the periods specified in Paragraph II above, but before the first to occur of the mailing date of either:
- ☐ Final Action under 37 CFR §1.113;
- ☐ Notice of Allowance under 37 CFR §1.311; or
- ☐ An action that otherwise closes prosecution in the application;
- and in support of such request the undersigned either:
- ☐ has made the certification hereinbelow at Paragraph IVB pursuant to 37 CFR §1.97(e); or
- ☐ is paying a petition fee under 37 CFR §1.17(p) in the amount of \$180.00.

IVA. ☐ The Examiner is requested to consider the attached Information Disclosure Statement pursuant to 37 CFR §1.97(d) in that it is being filed before the payment of the Base Issue Fee and after the mailing date of any:

- (1) Final Action under 37 CFR §1.113;
- (2) Notice of Allowance under §1.311; or
- (3) An action that otherwise closes prosecution in the application.

and in support of said request the undersigned:

- (i) has made the certification hereinbelow at Paragraph IVB pursuant to 37 CFR §197(e); and
- (ii) is paying a petition fee under 37 CFR §1.17 (P) in the amount of \$180.00.

IVB. **Certification Under 37 CFR §1.97(e)**  
[applicable to only III or IV above]

- ☐ The undersigned hereby certifies that for each item of information contained in the Information Disclosure Statement it was either:
- (1) first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement, or
  - (2) not cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned upon making reasonable inquiry, was not known to any individual designated in §1.56(c) more than three months prior to the filing of the statement.

V. ☐ The Examiner is requested to make the art of record in the following parental U.S. applications relied upon for an earlier filing date under 35 USC §120, of record in the present above-identified application.

U. S. Serial No.	Filing Date



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VI. Fee Payment

- ☐ Petition fee under 37 CFR §1.17(p) to consider Information Disclosure Statement under 37 CFR §1.97(c) and (d) is \$180.00.
- ☐ Charge \$\_\_\_\_\_ to Deposit Account No. 07-1770.
- ☒ The Commissioner is hereby authorized to charge any additional fees under 37 CFR §1.16 and §1.17 which may be required by this paper, or credit any overpayment, to Deposit Account No. 07-1770. A duplicate copy of this Form is enclosed.
- ☒ No fee due.

*April 4, 2001*

Date of Signature

*Charles A. Cross*

Attorney or Agent

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